


Part II

Compliance Certification



The Leadership Team has
the primary responsibility for
compliance.



The Compliance Certification is the document that we must complete that demonstrates our analysis of the extent we comply with the SACS Core Requirements.

Three Levels of Compliance

- Compliance - The College concludes that it complies with each aspect of the requirement or standard and can be supported with documentation.

Three Levels of Compliance

- ❑ Partial Compliance - The College judges that we comply with some but not all aspects of the requirement or standard. It must include an explanation and an action plan for bringing the College into compliance.

Three Levels of Compliance

- ❑ Non-Compliance - The College determines that it does not comply with ANY aspect of the requirement or standard and provides a thorough explanation for non-compliance and a detailed action plan for bringing the College into compliance.

Determining the Extent of Compliance

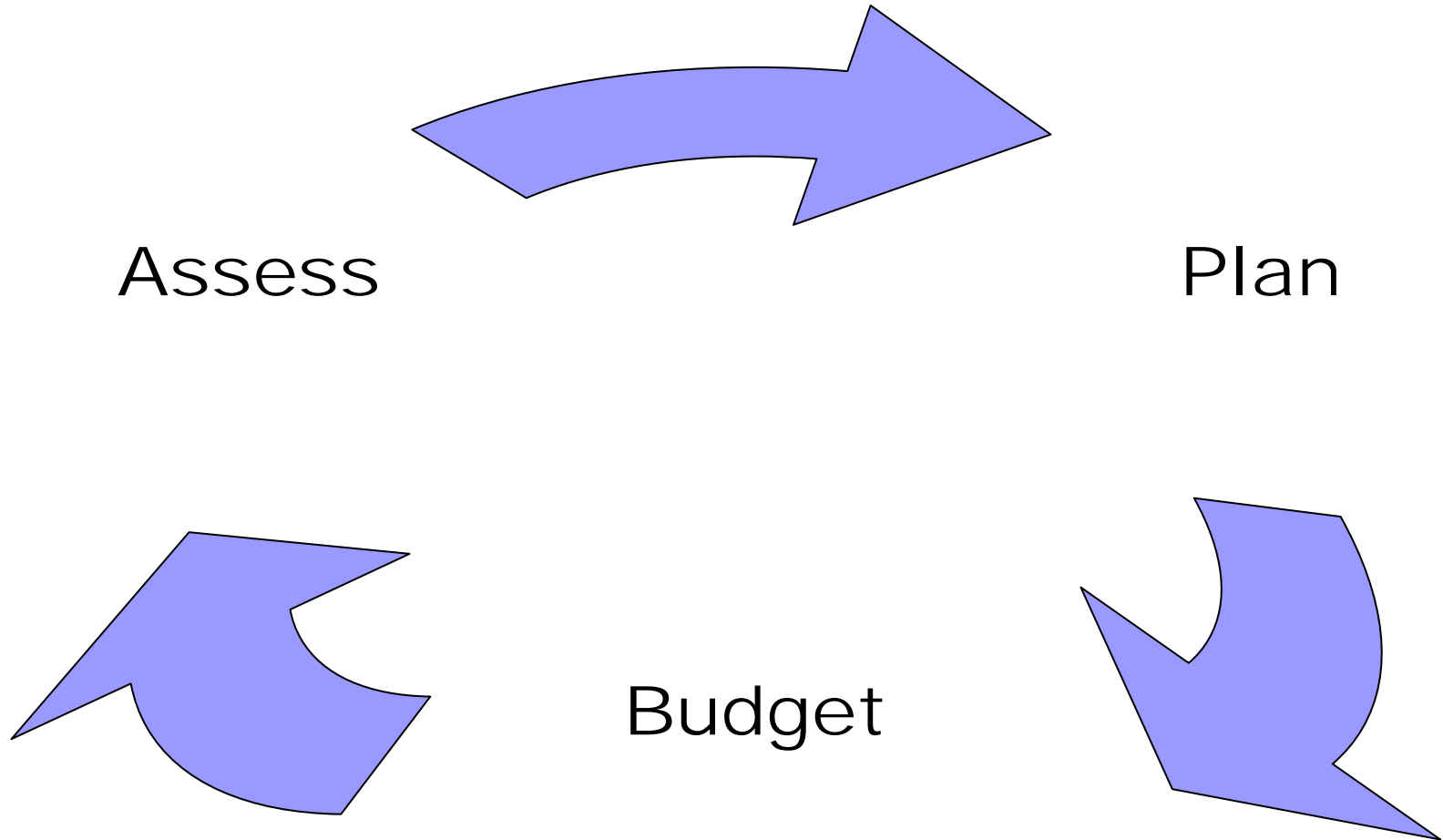
Establish threshold compliance

- ❑ Mission
- ❑ Benchmarking
- ❑ Philosophy
- ❑ Professional Judgment

Evaluation of Evidence

- ❑ What do we need?
- ❑ What do we have?
- ❑ What do we need to develop?
- ❑ Evaluate
- ❑ Analyze
- ❑ Make Case

Evaluation of Evidence



Documenting Compliance

Examples of Supporting Documents include:

- ❑ College Catalog
- ❑ By Laws of the Area Commission
- ❑ Faculty Credential Files
- ❑ Institutional Effectiveness Methods and Results
- ❑ Faculty Handbook
- ❑ Student Handbook



Evaluating the Evidence Supporting Compliance

The College will need to evaluate the evidence we intend to submit supporting our claim of compliance with each requirement and standard.

Reporting and Communication Format

- ❑ Electronic
- ❑ Paper
- ❑ Combination of Electronic and Paper

Documents That Require Submission by Paper

- ❑ Signed Compliance Certification
- ❑ Summary Informational Form
- ❑ Organizational Chart
- ❑ College Catalog
- ❑ Financial Audit and Management Letter for the Most Recent Fiscal Year