Title:	SACSCOC Substantive Changes	Number: 2.004.01
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Department of

Responsibility: Vice President for Academic Affairs

Authorization:

President

Date Approved: March 15, 2011 Last Revised: February 11, 2020

changes and will seek approval prior to the initiation of changes when appropriate.

Purpose: To establish a process for adherence to the policies and guidelines of the Southern Association of Colleges and Schools Commission on Colleges (SACSCOC) related to institutional change. In accordance with SACSCOC policies, OCtech will notify the Commission of substantive

Last Reviewed:_June 21, 2016

Definitions

A. The following are examples of types of institutional change that might be substantive change

Types of Change	Procedure or	Prior	Prior	Documentation and Time Frame
	Policy	Notification	Approval	for Contacting
		Required	Required	
Initiating coursework or programs at a different	Procedure 1	No	Yes	Application for Level Change
level than currently				Due dates:
approved				March 15 (for June review);
				September 1 (for December
				review)
Initiating off-campus	Procedure 1	No	Yes	Cover Sheet
sits where student can				Prospectus
obtain 50% or more				
credits toward a				Due dates:
program (including but				January 1 for 7/1-12/31
not limited to Early				implementation
College High School,				July 1 for 1/1-6/30 implementation
dual enrollment				
programs offered at a				
high school, and				
certificate programs				
that are not at				
employer's request and				
not on short notice)				

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Types of Change	Procedure or Policy	Prior Notification Required	Prior Approval Required	Documentation and Time Frame for Contacting
Initiating off-campus sits where student can obtain 50% or more	Procedure 1	No	Yes	Cover Sheet Prospectus
credits toward a program (including but not limited to Early College High School, dual enrollment programs offered at a high school, and certificate programs that are not at employer's request and not on short notice)				Due dates: January 1 for 7/1-12/31 implementation July 1 for 1/1-6/30 implementation
Expanding at current degree level (significant departure from current programs).	Procedure 1	No	Yes	Cover Sheet Prospectus Due dates: January 1 for 7/1-12/31 implementation
Expanding program	Procedure 1	No	Yes	July 1 for 1/1-6/30 implementation Cover Sheet
offerings at previously approved off- campus sites by adding programs that ARE significantly different from current programs at the site AND at the institution				Prospectus Due dates: January 1 for 7/1-12/31 implementation July 1 for 1/1-6/30 implementation
Initiating degree completion programs	Procedure 1	No	Yes	Cover Sheet Prospectus Due dates: January 1 for 7/1-12/31 implementation July 1 for 1/1-6/30 implementation
Initiating a branch campus	Procedure 1	No	Yes	Cover Sheet Prospectus Due dates: January 1 for 7/1-12/31 implementation July 1 for 1/1-6/30 implementation

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Types of Change	Procedure or Policy	Prior Notification Required	Prior Approval Required	Documentation and Time Frame for Contacting
Initiating distance learning by offering 50% or more of the first	Procedure 1	No	Yes	Cover Sheet Prospectus
program for the first time				Due dates: January 1 for 7/1-12/31 implementation
				July 1 for 1/1-6/30 implementation
Relocating a main or branch campus	Procedure 1	No	Yes	Cover Sheet Prospectus
				Due dates: January 1 for 7/1-12/31 implementation July 1 for 1/1-6/30 implementation
Entering into a contract with an entity not certified to participate in	Procedure 1	No	Yes	Cover Sheet Prospectus
USDOE Title IV programs if the entity provides 25% or more of				Due dates: January 1 for 7/1-12/31 implementation
an educational program offered by the SACSCOC accredited institution				July 1 for 1/1-6/30 implementation
Initiating dual or joint degrees involving	See SACSCOC	No	Yes	Cover Sheet Prospectus
program expansion	policy			
(significant departure) or	"Agreements			Due dates:
initiating a new site	Involving			January 1 for 7/1-12/31 implementation
where student can obtain	Join and Dual			July 1 for 1/1-6/30
50% or more credits toward a program	Academic Awards"			implementation
				Copy of signed agreement, contact information for each institution, and additional details on non-SACSCOC institution(s) involved. See Policy
Initiating dual or joint	See	At least 6	Yes	Acceptance of notification, copy
degree with at least one	SACSCOC	months prior to		of signed agreement, contact
institution not accredited	policy	implementation		information for each institution,
by SACSCOC	"Agreements			and additional details on non-
	Involving			SACSCOC institution(s). See
	Join and Dual			Policy.
	Academic			
	Awards"			

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Types of Change	Procedure or Policy	Prior Notification Required	Prior Approval Required	Documentation and Time Frame for Contacting
Initiating a program or courses delivered through cooperative academic agreement	Procedure 2	At least 6 months prior to implementation	No	Acceptance of notification, copy of signed agreement, contact information for each institution/entity
Initiating a direct assessment competency-based program	See SACSCOC Policy "Direct Assessment Competency- Based Educational Programs"	Yes – Screening Form	Yes	Submit "Screening Form" with letter of notification. If Prospectus is required, Due dates: March 15 (for June review) September 1 (for December review
Initiating a merger/consolidation with another institution	See SACSCOC Policy: "Merger/ Consolidation, Acquisition, Change of Ownership, and Change of Governance, Control, Form, or Legal Status - Substantive Change for SACSCOC Accredited Institutions Policy Statement"	Yes: December 15 (for June review); June 1 (for Dec. review)	Yes	Cover Sheet Institutional Summary Form Prospectus (See Appendix in SACSCOC Policy: "Merger/Consolidation, Acquisition, Change of Ownership, and Change of Governance, Control, Form, or Legal Status – Substantive Change for SACSCOC Accredited Institutions Policy Statement") Due dates: March 15 (for June review); September 1 (for December review)
Changing governance, ownership, control, or legal status of an institution	See SACSCOC Policy: "Merger/ Consolidation, Acquisition, Change of Ownership, and Change of Governance, Control, Form, or Legal Status - Substantive Change for SACSCOC Accredited Institutions Policy Statement"	Yes: December 15 (for June review); June 1 (for Dec. review)	Yes	Cover Sheet Institutional Summary Form Prospectus (See Appendix in SACSCOC Policy: "Merger/Consolidation, Acquisition, Change of Ownership, and Change of Governance, Control, Form, or Legal Status – Substantive Change for SACSCOC Accredited Institutions Policy Statement") Due dates: March 15 (for June review); September 1 (for December review)

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Types of Change	Procedure or	Prior	Prior	Documentation and Time Frame
	Policy	Notification	Approval	for Contacting
Acquiring an	See SACSCOC	Required Yes: December	Required Yes	Cover Sheet Institutional
institution or location	Policy: "Merger/	15 (for June	1 68	Summary Form Prospectus
(including programs)	Consolidation,	review);		(See Appendix in SACSCOC
of another institution	· · · · · · · · · · · · · · · · · · ·	June 1		
of another institution	Acquisition,			Policy: "Merger/Consolidation,
	Change of Ownership, and	(for Dec. review)		Acquisition, Change of
		review)		Ownership, and Change of Governance, Control, Form, or
	Change of			
	Governance, Control, Form,			Legal Status – Substantive Change for SACSCOC Accredited
	or Legal Status – Substantive			Institutions Policy Statement")
	Change for			Due dates:
	SACSCOC			March 15 (for June review);
	Accredited			September 1 (for December
	Institutions			*
	Policy			review)
	Statement"			
Adding	See SACSCOC	Yes: December	Yes	Cover Sheet Institutional
Adding a permanent location	Policy: "Merger/	15 (for June	res	Summary Form Prospectus
at a site where the	Consolidation,	review);		(See Appendix in SACSCOC
institution is	Acquisition,	June 1		Policy: "Merger/Consolidation,
conducting a	Change of	(for Dec.		Acquisition, Change of
teach-out for	Ownership, and	review)		Ownership, and Change of
students from	Change of	ieview)		Governance, Control, Form, or
another institution	Governance,			Legal Status – Substantive Change
that is closing	Control, Form,			for SACSCOC Accredited
that is closing	or Legal Status			Institutions Policy Statement")
	- Substantive			institutions Folicy Statement)
	Change for			Due dates:
	SACSCOC			March 15 (for June review);
	Accredited			September 1 (for December
	Institutions			review)
	Policy			Teview)
	Statement"			
Initiating a	Procedure 1	No	Yes	Cover Sheet
certificate program	110ccaure 1	110	103	Modified Prospectus
at a new off-				Contact Commission Staff
campus site at				Comment Commission Sun1
employer's request				
and on short notice				
(previously				
approved program)				
approved program)				

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Types of Change	Procedure or Policy	Prior Notification Required	Prior Approval Required	Documentation and Time Frame for Contacting
Initiating a certificate program that is a significant departure from previously approved programs at employer's request and on short notice	Procedure 1	No	Yes	Cover Sheet Modified Prospectus Contact Commission Staff
Adding a site under a U.S. military contract for a previously approved program	Procedure 1	No	Yes	Cover Sheet Modified Prospectus Contact Commission Staff
Altering significantly the length of a program	Procedure 1	No	Yes	Cover Sheet Modified Prospectus Contact Commission Staff
Altering significantly the educational mission of the institution	Procedure 1	No	Yes	Cover Sheet Modified Prospectus Contact Commission Staff
Changing from clock hours to credit hours	Procedure 1	No	Yes	Justify reasons for change, indicate calculation of equivalency, and other pertinent information
Moving an off- campus instructional site (serving the same geographic area)	Procedure 2	Yes	No	Letter of notification with old address, new address, and implementation date
Initiating dual or joint degrees with other SACSCOC accredited institution(s)	See SACSCOC Policy "Agreements Involving Joint and Dual Academic Awards"	At least 6 months prior to implementation	No	Acceptance of notification, copy of signed agreement and contact information for each institution. See Policy.
Initiating programs or courses offered through contractual agreement or consortium	Procedure 2	Yes	No	Letter of notification and copy of signed agreement

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Types of Change	Procedure or Policy	Prior Notification Required	Prior Approval Required	Documentation and Time Frame for Contacting
Entering into a contract with an entity not certified to participate in USDOE Title IV programs if the entity provides less than 25% of an educational program offered by the SACSCOC	Procedure 2	Yes	No	Letter of notification and copy of signed agreement
accredited institution Initiating off-campus sites where student can obtain 25- 49% of credits toward a program (including but not limited to Early College High School, dual enrollment programs offered at a high school, and certificate programs that are not at employer's request and not on short notice)	Procedure 2	Yes	No	Letter of notification including street address and implementation date
Initiating distance learning by offering 25-49 of the first program for the first time	Procedure 2	Yes	No	Letter of notification including street address and implementation date
Closing a program, approved off-campus site, branch campus, or institution where the institution plans to teach out its own students	Procedure 3	Yes	Yes	Description of teach-out plan included with letter of notification

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Types of Change	Procedure or Policy	Prior Notification Required	Prior Approval Required	Documentation and Time Frame for Contacting
Closing a program, approved off-campus site, branch campus, or institution where the institution plans to teach out its own students	Procedure 3	Yes	Yes	Description of teach-out plan included with letter of notification
Closing a program, approved off-campus site, branch campus, or institution where the institution plans contracts with another institution(s) to teach- out students (Teach-out Agreement)	Procedure 3	Yes	Yes	Description of teach-out plan, copy of signed teach-out agreement(s) detailing terms included with notification
Initiating a certificate program at employer's request and on short notice using existing approved courses and location	N/A	No	No	N/A
Initiating certificate program (not at employer's request and not on short notice) using existing approved courses and location	N/A	No	No	N/A
Initiating off-campus sites (including Early College High School and dual enrollment programs offered at the high school) where student can obtain 24% or less of credits toward a program	N/A	No	No	N/A

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Types of Change	Procedure or Policy	Prior Notification Required	Prior Approval Required	Documentation and Time Frame for Contacting
Expanding program offerings at previously approved off- campus sites by adding approved programs that ARE NOT significantly different from current programs at the site	N/A	No	No	N/A
Expanding program offerings at previously approved off- campus sites by adding approved programs that ARE significantly different from current programs at the site but NOT at the institution	N/A	No	No	N/A
Initiating distance learning by offering 24% or less of any program for the first time	N/A	No	No	N/A

Persons Responsible

- President, vice presidents, and deans report institutional changes to the institutional liaison.
- Institutional liaison monitors all institutional changes reported and provides training on substantive change as needed.

Process

• The institutional liaison is a member of the OCtech Curriculum Committee, which meets as required each academic year. Although non-voting, the institutional liaison provides pertinent information regarding substantive change to the committee and the academic leadership at the institution. In addition, as a member of the committee, the institutional liaison is aware of any approved significant curriculum and/or program changes that may require notification or approval to SACSCOC.

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• For each change identified as substantive, the supervising vice president will assign responsibility to appropriate divisional personnel to work with the institutional liaison to complete the required materials for submission (e.g., prospectus, faculty rosters, and letters).

 The institutional liaison will send completed substantive change documents to SACSCOC following approval by the Vice President for Academic Affairs and the President.